

PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	08/441,433	
	Filing Date	May 15, 1995	
	First Named Inventor	Michael HOUGHTON et al.	
	Group Art Unit	1631	
	Examiner Name	M. Zeman	
Total Number Of Pages In This Submission	38 + 151 Refs.	Attorney Docket No.	223002006316

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (1 page in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations <input checked="" type="checkbox"/> Extension of Time Request (1 page) <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Removing the Finality of an Office Action (2 pages) Form PTO-1449 (19 pages in duplicate) 151 cited references Return receipt postcard
Remarks: _____		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018	
Signature	Shantanu Basu, Reg No. 43,318	
Date	March 13, 2002	

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# FEE TRANSMITTAL FOR FY 2002 DUPLICATE COPY FOR FEE PROCESSING

Patent fees are subject to annual revision.

## Complete If Known

Application Number 08/441,433  
Filing Date May 15, 1995  
First Named Inventor Michael HOUGHTON  
Examiner Name M. Zeman  
Group Art Unit 1631  
Attorney Docket No. 223002006316

TOTAL AMOUNT OF PAYMENT

(\$2,680.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	185	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	180	214	80	Provisional filing fee	

SUBTOTAL (1) (\$30)

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
17	-20 =	0	0	16	\$0
4	-6 =	0	0	64	\$0
					\$0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	185	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	180	214	80	Provisional filing fee	

SUBTOTAL (2) (\$30)

Number previously paid greater. For reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	1,960.00
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	180.00
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
148	740	248	370	Filing a submission after final rejection (37 CFR § 1.129(a))	740.00
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
178	740	278	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

Complete (if applicable)

Telephone (650) 813-5995

Date March 13, 2002

## SUBMITTED BY

Name (Print/Type) Shantanu Basu

Registration No. (Attorney/Agent)

43,318

Signature

*Shantanu Basu*

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**To: Examiner Mary Zeman**  
U.S. Patent and Trademark Office  
Group Art Unit: 1631

**Facsimile: (703) 746-5279**  
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**From: Shantanu Basu**

**Date: April 15, 2002**

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**Comments: Serial No. 08/441,443, Our File 22300-20063.16**

**Pursuant to your request, enclosed are copies of the Supplemental Information Disclosure/Form PTO-1449 and Request for Removing Finality of Office Action as filed with the Patent Office on March 13, 2002 in the above-referenced application.**

Attorney Docket: 223002006316  
Inventor(s): Michael HOUGHTON et al.

Date: March 13, 2002  
Application No. 08/441,433  
Filing Date: May 15, 1995

Title: NANBV DIAGNOSTICS AND VACCINES

Papers enclosed:

1. Transmittal Form (1 page)
2. Fee Transmittal in duplicate (2 pages total)
3. Supplemental Information Disclosure Statement (3 pages)
4. Petition for Extension of Time (1 page)
5. Associate Power of Attorney (1 page)
6. Request For Removing the Finality of an Office Action (2 pages)
7. Form PTO-1449 (13 pages in duplicate, total 26 pages)
8. 151 cited references

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